

## THE DUAL OF THE BERGMAN SPACE $A^1$ IN SYMMETRIC SIEGEL DOMAINS OF TYPE II

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**ABSTRACT.** An affirmative answer is given to the following conjecture of R. Coifman and R. Rochberg: in any symmetric Siegel domain of type II, the dual of the Bergman space  $A^1$  coincides with the Bloch space of holomorphic functions and can be realized as the Bergman projection of  $L^\infty$ .

Let  $D$  be a symmetric Siegel domain of type II; let  $\nu$  denote the Lebesgue measure in  $D$  and  $H(D)$  the space of holomorphic functions in  $D$ . The Bergman space  $A^p(D)$ ,  $0 < p \leq \infty$ , is defined by  $A^p(D) = H(D) \cap L^p(d\nu)$ .

In the one-dimensional case,  $D = \Pi^+ = \{z \in \mathbb{C}: \operatorname{Im} z > 0\}$ , R. Coifman and R. Rochberg [4] gave a proof of the following fact: the dual of the Bergman space  $A^1(\Pi^+)$  coincides with the Bloch space of holomorphic functions and can be realized as the Bergman projection of  $L^\infty$ . Then, these authors conjectured that this characterization of the dual of  $A^1$  should hold in any symmetric Siegel domain of type II.

In [1] (resp. [2 and 3]), an affirmative answer to this conjecture was given in the particular case of the Cayley transform of the unit ball in  $\mathbb{C}^n$ ,  $n > 1$ , defined by  $\{(z_1, z') \in \mathbb{C} \times \mathbb{C}^{n-1}: \operatorname{Im} z_1 > |z'|^2\}$  (resp. in the tube  $\mathbb{R}^{n+1} + i\Gamma$ ,  $n \geq 1$ , over the spherical cone  $\Gamma$  defined in  $\mathbb{R}^{n+1}$  by

$$\Gamma = \{(y_0, y_1, y_2, \dots, y_n) \in \mathbb{R}^{n+1}: y_0 y_1 - y_2^2 - \dots - y_n^2 > 0, y_0 > 0\}.$$

The purpose of this paper is to prove the Coifman-Rochberg conjecture in the general case of any symmetric Siegel domain of type II.

We shall denote by  $B(\zeta, z)$  the Bergman kernel of such a domain  $D$ . When  $r$  is a strictly positive integer, S. G. Gindikin [5] defined a differential operator  $\mathcal{D}_r$  in  $D$  that satisfies the property

$$(\mathcal{D}_r)_\zeta B(\zeta, z) = C_r B^{1+r}(\zeta, z), \quad \zeta, z \in D.$$

The Bloch space  $\mathcal{B}_r$  corresponding to the integer  $r$  is defined in terms of that operator  $\mathcal{D}_r$ . A holomorphic function  $g$  in  $D$  is said to be a Bloch function when it satisfies the estimate

$$\sup_{z \in D} \{ |\mathcal{D}_r g(z)| B^{-r}(z, z) \} < +\infty.$$

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Denote by  $\mathcal{N}$  the subspace of  $H(D)$  consisting of those functions satisfying  $\mathcal{D}_r g = 0$ ; the Bloch space  $\mathcal{B}_r$  is defined as the quotient space  $\{\text{Bloch functions}\}/\mathcal{N}$ .

In view of the proof of the Coifman-Rochberg conjecture, let us recall at once that, unlike the bounded domains, the Bergman kernel  $B(\zeta, z)$  of  $D$  is not integrable with respect to  $z$  because of its bad behaviour when  $z$  tends to infinity.

In the first chapter of this paper, we use S. G. Gindikin's general theory [5] to characterize those integers  $r$  for which the function  $z \mapsto B^{1+r}(\zeta, z)$  belongs to  $L^1(D)$ ,  $\zeta \in D$ ; also enclosed in this chapter are some results that will be useful later.

In the second chapter, we prove that when  $r$  is sufficiently large, the dual of  $A^1$  coincides with the Bloch space  $\mathcal{B}_r$ . More precisely, let  $L$  be a bounded linear functional on  $A^1(D)$ ; by the Hahn-Banach theorem, there exists a bounded function  $b$  in  $D$  such that for any  $f$  in  $A^1(D)$ , the following equality holds:  $L(f) = \int_D b \bar{f} dv$ .

We associate with  $b$  the holomorphic function  $G$  defined in  $D$  by

$$G(\zeta) = C_r \int_D B^{1+r}(\zeta, z) b(z) dv(z);$$

this function  $G$  possesses the following two properties:

$$1^\circ \quad \sup_{\zeta \in D} \{ |G(\zeta)| B^{-r}(\zeta, \zeta) \} < +\infty;$$

$$2^\circ \quad L(f) = \int_D G(\zeta) \bar{f}(\zeta) B^{-r}(\zeta, \zeta) dv(\zeta)$$

for any  $f$  in  $A^1(D)$ .

Since it is well known (cf. [7]) that the differential equation  $\mathcal{D}_r \tilde{g} = G$  in  $D$  possesses holomorphic solutions, we then conclude that  $L$  can be represented by the element  $g$  of  $\mathcal{B}_r$ , consisting of all the holomorphic solutions to this equation.

In the third chapter, we denote by  $P$  the operator that assigns to a bounded function  $b$  the above-defined element  $g$  of the Bloch space  $\mathcal{B}_r$ , and we call it "Bergman projection" of  $L^\infty$  into  $\mathcal{B}_r$  for the following reason: although  $P$  is not the integral operator  $\mathcal{P}$  associated with the Bergman kernel  $B(\zeta, z)$  of  $D$ , which has no meaning on  $L^\infty$ , we shall prove that for any function  $b$  in  $(L^2 \cap L^\infty)(D)$ , the element  $Pb$  of  $\mathcal{B}_r$  can be represented by the holomorphic function  $\mathcal{P}b$ . Moreover, we prove that  $P$  defines a bounded operator from  $L^\infty$  onto  $\mathcal{B}_r$ , and, consequently, the dual of  $A^1(D)$  (which coincides with the Bloch space  $\mathcal{B}_r$ ) can be realized as the Bergman projection of  $L^\infty$ .

Finally, as usual, the same letter  $C$  will denote constants that may be different from each other.

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## I. PRELIMINARY RESULTS ABOUT THE BERGMAN KERNEL

**1. The canonical decomposition of the domain.** Let  $V$  denote an affine-homogeneous cone in  $\mathbf{R}^n$ , which contains no straight line; we first recall the canonical decomposition of  $V$  as settled in [5].

NOTATIONS. (i) At the  $j$ th step,  $j = 1, 2, \dots$ , the real line  $\mathbf{R}$  will be denoted by  $\mathbf{R}_{jj}^1$  and at the  $k$ th step,  $k = 2, 3, \dots$ ,  $\mathbf{R}_k^{n_k}$  will denote the  $n_k$ -dimensional euclidean space  $\mathbf{R}^{n_k}$ .

(ii) Let  $P$  denote the real Siegel domain

$$P = \{(y, t) \in \mathbf{R}^\sigma \times \mathbf{R}^\tau: y - \varphi(t, t) \in \Gamma\}$$

where  $\Gamma \subset \mathbf{R}^\sigma$  is an affine-homogeneous cone which contains no straight line and  $\varphi$  is a  $\Gamma$ -bilinear symmetric form defined in  $\mathbf{R}^\tau$ ; we shall denote by  $V(P)$  the cone

$$V(P) = \{(y, t, r) \in \mathbf{R}^\sigma \times \mathbf{R}^\tau \times \mathbf{R}: r > 0 \text{ and } (yr, t) \in P\}.$$

In order to obtain the canonical decomposition of the cone  $V$ , we consider at the first step the cone  $V^{(1)} = (0, \infty) \subset \mathbf{R}_{11}^1$ .

At the second step, we associate with the cone  $V^{(1)}$  and with a  $V^{(1)}$ -bilinear symmetric form  $F^{(2)}$  defined in  $\mathbf{R}_2^{n_2}$  a real Siegel domain  $P^{(2)}$  contained in  $\mathbf{R}_{11}^1 \times \mathbf{R}_2^{n_2}$  and the cone

$$V^{(2)} = V(P^{(2)}) \subset \mathbf{R}_{11}^1 \times \mathbf{R}_2^{n_2} \times \mathbf{R}_{22}^1.$$

At the third step, we associate with the cone  $V^{(2)}$  and with a  $V^{(2)}$ -bilinear symmetric form  $F^{(3)}$  defined in  $\mathbf{R}_3^{n_3}$  a real Siegel domain  $P^{(3)}$  contained in  $\mathbf{R}_{11}^1 \times \mathbf{R}_2^{n_2} \times \mathbf{R}_{22}^1 \times \mathbf{R}_3^{n_3}$  and the cone

$$V^{(3)} = V(P^{(3)}) \subset \mathbf{R}_{11}^1 \times \mathbf{R}_2^{n_2} \times \mathbf{R}_{22}^1 \times \mathbf{R}_3^{n_3} \times \mathbf{R}_{33}^1.$$

And so on, at the  $i$ th step, we associate with the cone  $V^{(i-1)}$  and with a  $V^{(i-1)}$ -bilinear symmetric form  $F^{(i)}$  defined in  $\mathbf{R}_i^{n_i}$  a real Siegel domain  $P^{(i)}$  and the cone  $V^{(i)} = V(P^{(i)})$ .

It follows from results in [5] that every affine-homogeneous cone  $V$ , which contains no straight line, can be decomposed in the form  $V = V^{(l)}$  (up to an affine isomorphism). The required number of steps to obtain  $V$  in this form is called the rank  $l$  of the cone  $V$  ( $V = V^{(l)}$ ). This yields the following decomposition of the space  $\mathbf{R}^n$  containing  $V$ :

$$\mathbf{R}^n = \mathbf{R}_{11}^1 \times \dots \times \mathbf{R}_{ll}^1 \times \mathbf{R}_2^{n_2} \times \dots \times \mathbf{R}_l^{n_l}, \quad n = l + \sum_{j=2}^l n_j.$$

Now, let  $F_{ii}^{(j)}$ ,  $1 \leq i < j \leq l$ , denote the projection of the  $V^{(j-1)}$ -bilinear symmetric form  $F^{(j)}$  on the real line  $\mathbf{R}_{ii}^1$ , and let  $\mathbf{R}_{ij}^{n_{ij}}$  denote the  $n_{ij}$ -dimensional subspace of  $\mathbf{R}_j^{n_j}$  where the form  $F_{ii}^{(j)}$  is positive definite; then the decomposition  $\mathbf{R}_j^{n_j} = \prod_{i=1}^{j-1} \mathbf{R}_{ij}^{n_{ij}}$  holds.

We recall next that the cone  $V$  is self-conjugate if and only if the integers  $n_{ij}$ ,  $1 \leq i < j \leq l$ , are equal among themselves. In that case, when  $x_{ij}$  denotes the projection of  $x \in \mathbf{R}^n$  on  $\mathbf{R}_{ij}^{n_{ij}}$ , the cone  $V$  is self-conjugate with respect to the scalar product

$$\langle x, x' \rangle = \sum_{j=1}^l \frac{(x_{jj}x'_{jj})}{2} + \sum_{1 \leq i < j \leq l} x_{ij}x'_{ij}, \quad x, x' \in \mathbf{R}^n.$$

Thus, in order that the cone  $V \subset \mathbf{R}^n$  of rank  $l$  be self-conjugate, we shall assume that  $n - l$  is a multiple in  $\mathbf{N}$  of  $l(l - 1)/2$  and that all the  $n_{ij}$ 's,  $1 \leq i < j \leq l$ , are equal to  $p = 2(n - l)/l(l - 1)$ .

We shall also assume that the vectors in the subspaces  $\mathbf{R}_{ij}^p$  are represented by their coordinates with respect to bases satisfying the following property: for any  $\lambda_j$  in  $\mathbf{R}_{ij}^{n_j}$ , one has the equality  $F_{ii}^{(j)}(\lambda_j, \lambda_j) = \|\lambda_{ij}\|^2$ , where  $\|\cdot\|$  denotes the euclidean norm in  $\mathbf{R}_{ij}^p$ .

Furthermore, let  $F_{ij}^{(k)}$ ,  $1 \leq i < j < k \leq l$ , denote the projection of the  $V^{(k-1)}$ -bilinear symmetric form  $F^{(k)}$  on  $\mathbf{R}_{ij}^p$ ; the homogeneity assumption on the cone  $V$  implies that the form  $F_{ij}^{(k)}$  is concentrated on  $\mathbf{R}_{ik}^p \times \mathbf{R}_{jk}^p$ .

Now, let  $D \subset \mathbf{C}^n \times \mathbf{C}^N$  denote a symmetric Siegel domain of type II, associated to the cone  $V$  and to the  $V$ -Hermitian form  $F$ . We next describe the canonical decomposition of the space  $\mathbf{C}^n \times \mathbf{C}^N$  containing  $D$ .

First, the decomposition

$$\mathbf{R}^n = \prod_{j=1}^l \mathbf{R}_{jj}^1 \times \prod_{1 \leq j < k \leq l} \mathbf{R}_{jk}^p$$

given above yields in a natural way the decomposition

$$\mathbf{C}^n = \prod_{j=1}^l \mathbf{C}_{jj}^1 \times \prod_{1 \leq j < k \leq l} \mathbf{C}_{jk}^p.$$

Secondly, let  $F_{jj}$  denote the projection of the form  $F$  on the complex plane  $\mathbf{C}_{jj}^1$ , and let  $\mathbf{C}_{jj}^{q_j}$  denote the  $q_j$ -dimensional subspace of  $\mathbf{C}^N$  where the form  $F_{jj}$  is positive definite; the decomposition  $\mathbf{C}^N = \prod_{j=1}^l \mathbf{C}_{jj}^{q_j}$  holds, and the canonical decomposition of the space  $\mathbf{C}^n \times \mathbf{C}^N$  containing  $D$  is given by

$$\mathbf{C}^n \times \mathbf{C}^N = \prod_{j=1}^l \mathbf{C}_{jj}^1 \times \prod_{1 \leq j < k \leq l} \mathbf{C}_{jk}^p \times \prod_{j=1}^l \mathbf{C}_{jj}^{q_j}.$$

Moreover, with respect to its canonical form, the cone  $V$  can be described in the following quantitative manner. Let  $\lambda$  be a point of  $V$  and let  $\lambda_j$ ,  $j = 2, \dots, l$ , denote the projection of  $\lambda$  on  $\mathbf{R}_{jj}^{n_j}$ ; there exists an automorphism (in the transitive group of  $V$  given in [5]) that assigns to  $\lambda$  a point  $\tilde{\lambda}$  of  $V$  satisfying  $\tilde{\lambda}_j = 0$ , for every  $j = 2, \dots, l$ . Such an automorphism can be built in  $l - 1$  stages: a first automorphism maps  $\lambda$  to  $\lambda^{(1)} \in V$  satisfying  $\lambda_l^{(1)} = 0$ , a second one takes  $\lambda^{(1)}$  to  $\lambda^{(2)} \in V$  satisfying  $\lambda_{l-1}^{(2)} = \lambda_l^{(2)} = 0, \dots$ , and finally, the  $(l - 1)$ th automorphism assigns to  $\lambda^{(l-2)}$  satisfying  $\lambda_3^{(l-2)} = \dots = \lambda_l^{(l-2)} = 0$  the point  $\lambda^{(l-1)} = \tilde{\lambda}$ . More explicitly, the points  $\lambda^{(m)}$ ,  $m = 0, 1, \dots, l - 1$ , of  $V$  are given by the following recurrence formulas (formulas (1.26), (1.27) in [5]):  $\lambda^{(0)} = \lambda$ ,

$$\lambda_{ij}^{(m+1)} = \lambda_{ij}^{(m)} - \frac{F_{ij}^{(l-m)}(\lambda_{l-m}^{(m)}, \lambda_{l-m}^{(m)})}{\lambda_{l-m, l-m}^{(m)}}, \quad 1 \leq i \leq j < l - m.$$

Now, set  $\chi_j(\lambda) = \lambda_{jj}^{(l-j)}$ ; the cone  $V$  is defined by the  $l$  inequalities  $\chi_j(\lambda) > 0$ ,  $j = 1, 2, \dots, l$  (formula (1.25) in [5]).

Furthermore, let us recall that the functions  $\chi_j(\lambda)$  can also be obtained in the following way. The transitive group  $G(V)$  of the cone  $V$ , defined in [5], is represented by  $l \times l$  triangular matrices, and the automorphism  $T \in G(V)$  that assigns  $\tilde{\lambda}$  to  $\lambda$  is a triangular matrix of  $G(V)$  whose diagonal elements are all equal to 1. On the other hand, let  $e$  denote the point of  $V \subset \prod_{j=1}^l \mathbf{R}_{jj}^1 \times \prod_{j=2}^l \mathbf{R}_{jj}^{n_j}$  whose coordinates are  $e_{jj} = 1$  and  $e_k = 0$  for  $j = 1, \dots, l$  and  $k = 2, \dots, l$ ; then for any  $\lambda$  in  $V$ , there exists in  $G(V)$  a unique matrix  $g$  such that  $g(e) = \lambda$  and  $g^{-1}$  is the product of  $T$  by a diagonal matrix. Hence, the matrix  $g$  can be written as the product  $g = td$  of a diagonal matrix  $d$  of  $G(V)$  by a triangular matrix  $t$  of  $G(V)$  whose diagonal elements are all equal to 1; the point  $\tilde{\lambda}$  and the functions  $\chi_j(\lambda)$  are then given by the formulas  $\tilde{\lambda} = d(e)$  and  $\chi_j(\lambda) = d_j$ , where  $d_j$  is the  $j$ th diagonal element of  $d$ .

Finally, let us recall that the self-conjugate cone  $V$  can also be defined by the  $l$  functions  $\chi_j^*(\lambda)$  that generally define the dual cone  $V^*$  of  $V$ . Those functions  $\chi_j^*(\lambda)$  are given as follows.

Let  $G^*(V)$  denote the adjoint group of  $G(V)$  with respect to the scalar product  $\langle \cdot, \cdot \rangle$ ; the group  $G^*(V)$  acts transitively on  $V^* = \bar{V}$  and for any  $\lambda$  in  $V^* = \bar{V}$ , there exists a unique automorphism  $g^*$  in  $G^*(V)$  satisfying  $g^*(e) = \lambda$ . The group  $G^*(V)$  can also be represented by triangular matrices, and the matrix  $g^*$  can be uniquely written in  $G^*(V)$  as the product  $g^* = t^*d^*$  of a diagonal matrix  $d^*$  by a triangular matrix  $t^*$  whose diagonal elements are all equal to 1. The functions  $\chi_j^*(\lambda)$  are then given by  $\chi_j^*(\lambda) = d_j^*$ , where  $d_j^*$  is the  $j$ th diagonal element of  $d^*$ .

We shall carry the following notations: for any vector  $\rho = (\rho_1, \dots, \rho_l)$  in  $R^l$ , we set

$$\lambda^\rho = \prod_{j=1}^l (\chi_j(\lambda))^{\rho_j} \quad \text{and} \quad (\lambda^*)^\rho = \prod_{j=1}^l (\chi_j^*(\lambda))^{\rho_j}.$$

**2. The Bergman kernel.** Let  $n$  and  $l$  be two integers satisfying  $1 \leq l \leq n$ ,  $n > 1$ , and such that  $l(l-1)/2$  divides  $n-l$  in  $\mathbf{N}$ . By  $V$  we shall denote an affine-homogeneous, self-conjugate cone of rank  $l$  in  $\mathbf{R}^n$ , and we shall assume that the cone  $V$  is irreducible: this implies that  $1 < l < n$ .

We therefore exclude the case  $n = l = 1$ , where the cone  $V$  is the positive real half-line and its associated Siegel domains, that are Cayley transforms of balls, were studied in [1].

Let  $D$  denote a symmetric Siegel domain of type II contained in  $\mathbf{C}^n \times \mathbf{C}^N$ , associated to the cone  $V$  and to the  $V$ -Hermitian form  $F$  defined in  $\mathbf{C}^N$ ; the space  $\mathbf{C}^n \times \mathbf{C}^N$  containing  $D$  will be considered in its canonical form

$$\begin{aligned} \mathbf{C}^n &= \prod_{j=1}^l \mathbf{C}_{jj}^1 \times \prod_{k=2}^l \mathbf{C}_k^{n_k}, \\ \mathbf{C}_k^{n_k} &= \prod_{j < k} \mathbf{C}_{jk}^p, \quad p = \frac{2(n-l)}{l(l-1)}, \\ \mathbf{C}^N &= \prod_{j=1}^l \mathbf{C}_j^{q_j}. \end{aligned}$$

We shall denote by  $q$  the vector of  $\mathbf{N}^l$  whose coordinates are  $q_j$ ,  $j = 1, \dots, l$ , and we shall denote by  $d$  and  $m$  the vectors of  $\mathbf{R}^l$  whose respective coordinates are  $d_j = -(1 + p(l-1)/2)$ ,  $m_j = p(j-1)$ .

It then follows from results in [5] that the Bergman kernel  $B(\zeta, z)$  of  $D$  has the following two expressions:

**PROPOSITION I.2.1.** *The Bergman kernel  $B(\zeta, z)$  of  $D$  is given by the formulas*

$$(1) \quad B(\zeta, z) = c \left( \frac{\xi - x}{2i} + \frac{\eta + y}{2} - F(\zeta_2, z_2) \right)^{2d-q}$$

$$(2) \quad = c' \int_V \exp \left( -\langle \lambda, \frac{\xi - x}{2i} + \frac{\eta + y}{2} - F(\zeta_2, z_2) \rangle \right) (\lambda^*)^{-d+q} d\lambda,$$

where  $\zeta = (\xi + i\eta, \zeta_2)$  and  $z = (x + iy, z_2)$  are two points of  $D \subset \mathbf{C}^n \times \mathbf{C}^N$ .

Subsequently, we shall carry the following notations:

(i) let  $\rho = (\rho_1, \dots, \rho_l)$  and  $\rho' = (\rho'_1, \dots, \rho'_l)$  be two vectors in  $\mathbf{R}^l$ ; we shall write  $\rho > \rho'$  whenever  $\rho_j > \rho'_j$  for every  $j = 1, \dots, l$ ; we shall also set

$$\rho\rho' = (\rho_1\rho'_1, \dots, \rho_l\rho'_l) \quad \text{and} \quad \rho/\rho' = (\rho_1/\rho'_1, \dots, \rho_l/\rho'_l),$$

when none of the  $\rho'_j$ 's,  $j = 1, \dots, l$ , is zero;

(ii) for any two points  $\zeta = (\xi + i\eta, \zeta_2)$  and  $z = (x + iy, z_2)$  in  $D \subset \mathbf{C}^n \times \mathbf{C}^N$ , we let  $B^\rho(z, z)$  and  $B^{1+\rho}(\zeta, z)$  denote the expressions

$$B^\rho(z, z) = c_\rho (y - F(z_2, z_2))^{(2d-q)\rho}$$

and

$$B^{1+\rho}(\zeta, z) = c'_\rho \left( \frac{\xi - x}{2i} + \frac{\eta + y}{2} - F(\zeta_2, z_2) \right)^{2d-q+(2d-q)\rho}.$$

We will use the following lemma, due to S. G. Gindikin [5]:

**LEMMA I.2.1.** *A holomorphic function  $G$  in  $D$  belongs to  $A^2(D)$  if and only if it can be expressed in the form*

$$G(z) = \int_V g(\lambda, z_2) \exp(i\langle \lambda, z_1 \rangle) d\lambda,$$

for any  $z = (z_1, z_2)$  in  $D \subset \mathbf{C}^n \times \mathbf{C}^N$ , where the function  $g(\lambda, z_2)$  satisfies the following properties:

- (i) the function  $z_2 \mapsto g(\lambda, z_2)$  is entire in  $\mathbf{C}^N$ ;
- (ii) the integral

$$\int_V \int_{\mathbf{C}^N} |g(\lambda, z_2)|^2 \exp(-2\langle \lambda, F(z_2, z_2) \rangle) (\lambda^*)^d dv(z_2) d\lambda$$

converges and is equal to  $c \int_D |G(z)|^2 dv(z)$ .

We state another lemma of Gindikin [5]:

**LEMMA I.2.2.** *For any vector  $\rho$  in  $\mathbf{R}^l$  such that  $\rho > m/2$  and for any  $z$  in  $\mathbf{C}^n$  such that  $\operatorname{Re} z \in V$ , we have*

$$\int_V \exp(-\langle z, \lambda \rangle) (\lambda^*)^{\rho+d} d\lambda = c_\rho(z)^{-\rho}.$$

Our next purpose is to prove the following estimate for the Bergman kernel:

LEMMA I.2.3. *For any  $\zeta$  in  $D$ , the kernel  $B^{1+\alpha}(\zeta, z)$ ,  $\alpha \in \mathbf{R}^l$ , belongs to  $L^1(dv(z))$  if and only if  $(-2d + q)\alpha > m/2$ ; in that case,*

$$\int_D |B^{1+\alpha}(\zeta, z)| dv(z) = c_\alpha B^\alpha(\zeta, \zeta).$$

PROOF. Because of the homogeneity of the domain  $D$ , it suffices to prove the lemma for  $\zeta = (ie, 0) \in D \subset \mathbf{C}^n \times \mathbf{C}^N$ , where  $e$  is the point of  $V \subset \prod_{j=1}^l \mathbf{R}_{jj}^1 \times \prod_{1 \leq j < k \leq l} \mathbf{R}_{jk}^p$  whose components are  $e_{jj} = 1$  for  $j = 1, \dots, l$  and  $e_{jk} = 0$  when  $j < k$ .

Take then  $\zeta = (ie, 0)$ . The assumption  $(-2d + q)\alpha > m/2$  yields the inequality  $-[2d - q + (2d - q)\alpha]/2 > m/2$ ; now, in view of Proposition I.2.1 and Lemma I.2.2,  $B^{(1+\alpha)/2}(\zeta, z)$  can be written.

$$\begin{aligned} B^{(1+\alpha)/2}(\zeta, z) &= c_\alpha \left( -\frac{x}{2i} + \frac{e+y}{2} \right)^{[2d-q+(2d-q)\alpha]/2} \\ &= c'_\alpha \int_V \exp\left(-\left\langle \lambda, -\frac{x}{2i} + \frac{e+y}{2} \right\rangle\right) (\lambda^*)^{[q+(-2d+q)\alpha]/2} d\lambda. \end{aligned}$$

Next, the Plancherel formula given in Lemma I.2.1 yields

$$\begin{aligned} \int_D |B^{1+\alpha}(\zeta, z)| dv(z) &= c_\alpha \int_V \exp(-\langle \lambda, e \rangle) (\lambda^*)^{d+q+(-2d+q)\alpha} \\ &\quad \cdot \left( \int_{\mathbf{C}^N} \exp(-2\langle \lambda, F(z_2, z_2) \rangle) dv(z_2) \right) d\lambda. \end{aligned}$$

Let us show that the right-hand side of this last equality converges if  $(-2d + q)\alpha > m/2$ . We first integrate with respect to  $z_2$ ; the following lemma is proved in [5]:

LEMMA I.2.4. *The integral*

$$\int_{\mathbf{C}^N} \exp(-\langle e, F(u, u) \rangle) dv(u)$$

*is convergent.*

In view of lemma I.2.4 and of the homogeneity of the cone  $V$ , we get

$$\int_{\mathbf{C}^N} \exp(-2\langle \lambda, F(z_2, z_2) \rangle) dv(z_2) = c(\lambda^*)^{-q};$$

hence,

$$(3) \quad \int_D |B^{1+\alpha}((ie, 0), z)| dv(z) = c_\alpha \int_V \exp(-\langle \lambda, e \rangle) (\lambda^*)^{d+q+(-2d+q)\alpha} d\lambda.$$

It then follows from Lemma I.2.2 that  $B^{1+\alpha}((ie, 0), z)$  belongs to  $L^1(dv(z))$  when  $(-2d + q)\alpha > m/2$ .

Conversely, S. G. Gindikin [5] has proved that the right-hand side of (3) converges only if this condition on  $\alpha$  is satisfied. The proof of the lemma is complete.

REMARK. The necessary and sufficient condition on  $\alpha$  given in Lemma I.2.3 contradicts a statement of R. Coifman and R. Rochberg (Lemma 2.2 of [4]). Even in the particular case of the tube over the spherical cone of  $\mathbf{R}^n$ ,  $n \geq 3$  (cone of rank two), the condition  $\alpha > 0$  given by those authors was proved before to be insufficient (cf. [2 and 3]).

We shall also use Lemma 2.3 of [4]:

LEMMA I.2.5.  *$d$  denotes the Bergman distance in  $D$ . There exists a constant  $C_D$  such that for any two points  $\zeta$  and  $\zeta_0$  in  $D$  satisfying  $d(\zeta, \zeta_0) < 10$  and for any  $z$  in  $D$ , the following inequality holds:*

$$|B(\zeta, z)/B(\zeta_0, z) - 1| \leq c_D d(\zeta, \zeta_0).$$

## II. THE DUAL OF $A^1$ AND BLOCH FUNCTIONS

In the following,  $\rho$  denotes a vector of  $\mathbf{N}^l$  whose coordinates  $\rho_j$ ,  $j = 1, \dots, l$ , satisfy two conditions:

$$(i) \rho_1 \geq \rho_2 \geq \dots \geq \rho_{l-1} \geq \rho_l = 1;$$

$$(ii) (\lambda^*)^\rho = \prod_{j=1}^l \chi_j^{\rho_j} \text{ is a polynomial of } \lambda \in \mathbf{R}^n;$$

according to the terminology in [5], a vector  $\rho$  satisfying property (ii) is said to be  $V$ -integral, and for any cone  $V$  of rank  $l$  an example of a  $V$ -integral vector is  $\rho = (2^{l-2}, 2^{l-3}, \dots, 2, 1, 1)$ .

We shall denote by  $\mathcal{R}$  the differential polynomial in  $\mathbf{C}^n$  that possesses the property

$$(4) \quad \mathcal{R}_{z_1} \exp(\langle \lambda, z_1 \rangle) = (\lambda^*)^\rho \exp(\langle \lambda, z_1 \rangle), \quad z_1 \in \mathbf{C}^n.$$

Referring to [5],  $\mathcal{R}$  is a Riemann-Liouville differential operator of  $D \subset \mathbf{C}^n \times \mathbf{C}^N$ .

Let  $r$  be a vector of  $\mathbf{N}^l$  satisfying the following two conditions (the notations are those introduced in section I.2):

$$(iii) (\lambda^*)^{r\rho} \text{ is a polynomial of } \lambda \in \mathbf{R}^n; \text{ i.e., } r\rho \text{ is also a } V\text{-integral vector};$$

$$(iv) r\rho > m/2.$$

Let  $\mathcal{D} = \mathcal{R}^r$  denote the differential polynomial in  $\mathbf{C}^n$  that possesses the following property:

$$\mathcal{D}_{z_1} \exp(\langle \lambda, z_1 \rangle) = (\lambda^*)^{r\rho} \exp(\langle \lambda, z_1 \rangle), \quad z_1 \in \mathbf{C}^n.$$

In other words,  $\mathcal{D}$  is the Riemann-Liouville differential operator of  $D$  obtained by iterating  $\mathcal{R}$   $r$  times.

Now, fix two such vectors  $\rho$  and  $r$ ; the corresponding Bloch space  $\mathcal{B} = \mathcal{B}_{\rho, r}$  of  $D$  is defined as follows. A holomorphic function  $g$  in  $D$  is said to be a Bloch function if it satisfies

$$\|g\|_* = \sup_{(z_1, z_2) \in D \subset \mathbf{C}^n \times \mathbf{C}^N} \left\{ |\mathcal{D}_{z_1} g(z)| B^{-r\rho/(l-2d+q)}(z, z) \right\} < +\infty.$$

Let  $\mathcal{N} = \{g \in H(D): \mathcal{D}_{z_1} g(z) = 0\}$ ; the Bloch space  $\mathcal{B} = \mathcal{B}_{\rho, r}$  of  $D$  is the quotient space  $\{\text{Bloch functions}\} / \mathcal{N}$ .

The Bloch space  $\mathcal{B} = \mathcal{B}_{\rho, r}$  possesses the following property:

PROPOSITION II.1. *Under the quotient norm induced by  $\|\cdot\|_*$ , the Bloch space  $\mathcal{B} = \mathcal{B}_{\rho, r}$  of  $D$  is a Banach space.*



The proof of this proposition essentially relies on the following lemma [7, p. 477]:

LEMMA II.1. *For any holomorphic function  $h(z_1, z_2)$  in  $D \subset \mathbb{C}^n \subset \mathbb{C}^N$ , there exists a holomorphic function  $f(z_1, z_2)$  such that  $\mathcal{D}_{z_1} f = h$ .*

We next prove the following theorem:

THEOREM II.1. *For any vectors  $\rho$  and  $r$  in  $\mathbb{N}^l$  satisfying conditions (i)–(iv), the dual of the Bergman space  $A^1(D)$  coincides with the Bloch space  $\mathcal{B} = \mathcal{B}_{\rho,r}$  of  $D$ .*

PROOF. It is easy to prove that the Bloch space  $\mathcal{B}_{\rho,r}$  of  $D$  coincides with a subspace of the dual of  $A^1(D)$ . This is done as follows: any element  $g$  of  $\mathcal{B}_{\rho,r}$  defines an element  $L$  of the dual of  $A^1(D)$  by

$$L(f) = \int_D \mathcal{D}_{z_1} g(z) \bar{f}(z) B^{-r\rho/(-2d+q)}(z, z) dv(z), \quad f \in A^1(D)$$

and the conclusion follows from the easily proved inequality  $\|L\| \leq \|g\|_*$ .

Conversely, let us prove that any element  $L$  of the dual of  $A^1(D)$  can be represented by an element  $g$  of  $\mathcal{B}_{\rho,r}$ . First, by the Hahn-Banach theorem, there exists a bounded function  $b$  in  $D$  such that for any  $f$  in  $A^1(D)$ ,  $L(f) = \int_D b \bar{f} dv$ .

Secondly, in view of the condition  $r\rho > m/2$  and of Lemma I.2.3, we can assign to this function  $b$  the holomorphic function  $G$  defined in  $D$  by

$$G(\zeta) = \int_D B^{1+r\rho/(-2d+q)}(\zeta, z) b(z) dv(z);$$

$G$  satisfies the estimate

$$(*) \quad \sup_{\zeta \in D} \{ |G(\zeta)| B^{-r\rho/(-2d+q)}(\zeta, \zeta) \} \leq C \|b\|_\infty$$

and yields an element  $L'$  of the dual of  $A^1(D)$  defined by

$$L'(f) = C \int_D G(\zeta) \bar{f}(\zeta) B^{-r\rho/(-2d+q)}(\zeta, \zeta) dv(\zeta).$$

Now, it follows from the Fubini theorem that

$$L'(f) = C \int_D \left( \int_D B^{1+r\rho/(-2d+q)}(\zeta, z) \bar{f}(\zeta) B^{-r\rho/(-2d+q)}(\zeta, \zeta) dv(\zeta) \right) b(z) dv(z).$$

We then conclude, in view of the reproducing formula

$$\int_D B^{1+r\rho/(-2d+q)}(\zeta, z) \bar{f}(\zeta) B^{-r\rho/(-2d+q)}(\zeta, \zeta) dv(\zeta) = C^{-1} \bar{f}(z),$$

that the linear functionals  $L$  and  $L'$  coincide on  $A^1(D)$ .

Finally, the linear functional  $L$  on  $A^1(D)$  can be represented by the element  $g$  of the Bloch space  $\mathcal{B} = \mathcal{B}_{\rho,r}$  defined as follows: by Lemma II.1 and using the estimate (\*) for  $G$ , take  $g$  to be the equivalence class of all holomorphic solutions to the equation

$$\mathcal{D}_{z_1} g(z) = CG(z), \quad z = (z_1, z_2) \in D \subset \mathbb{C}^n \times \mathbb{C}^N.$$

Also,  $\|g\|_* \leq C \|b\|_\infty$ . The proof of Theorem II.1 is complete.

REMARK. Bloch spaces can be defined exactly in the same way on homogeneous but nonsymmetric Siegel domains of type II associated with a self-conjugate cone. Furthermore, the argument in the proof of Theorem II.1 leads to the same conclusion in such a domain because all results we need from the first chapter are still valid. Concerning the existence of such domains, let us recall that the “historical” example of a homogeneous but nonsymmetric Siegel domain of type II, due to Pyateckii-Shapiro (in his solution to E. Cartan’s problem about the existence of bounded homogeneous but nonsymmetric domains; cf. [8, p. 269]) is associated with the (self-conjugate) spherical cone of  $\mathbf{R}^3$ .

### III. THE BERGMAN PROJECTION OF $L^\infty$ .

In this chapter,  $D$  again denotes a symmetric Siegel domain of type II contained in  $\mathbf{C}^n \times \mathbf{C}^N$ . The Bergman projection  $\mathcal{P}$  of  $D$  is the orthogonal projection of  $L^2(dv)$  onto the subspace  $A^2(D)$  of  $L^2(dv)$  consisting of holomorphic functions; for any  $\varphi$  in  $L^2(dv)$ ,  $\mathcal{P}\varphi$  is given by the integral formula

$$(5) \quad \mathcal{P}\varphi(\zeta) = \int_D B(\zeta, z) \varphi(z) dv(z), \quad \zeta \in D,$$

where  $B$  denotes the Bergman kernel of  $D$ , explicitly given in (1) and (2). However, since by Lemma I.2.3, the kernel  $B(\zeta, z)$  does not belong to  $L^1(dv(z))$ ,  $\zeta \in D$ , expression (5) does not make sense when  $\varphi$  is any bounded function in  $D$ .

In the following, we fix two vectors  $\rho$  and  $r$  in  $\mathbf{N}^l$ , satisfying conditions (i)–(iv) introduced at the beginning of Chapter II and  $\mathcal{B} = \mathcal{B}_{\rho, r}$  denotes the corresponding Bloch space.

Our next concern is to define an operator  $P$  from  $L^\infty(D)$  into the Bloch space  $\mathcal{B}$  that satisfies the following two properties:

1° The image  $Pb$  in  $\mathcal{B}$  of a function  $\varphi \in (L^\infty \cap L^2)(D)$  can be represented by the function  $\mathcal{P}\varphi$  defined in (5): for this reason, we shall also call this new operator  $P$  the “Bergman projection”;

2° The “Bergman projection”  $P$  is a bounded operator from  $L^\infty$  onto  $\mathcal{B}$ , and, consequently, the dual of the Bergman space  $A^1$  (the Bloch space  $\mathcal{B}$ ) coincides with the “Bergman projection”  $PL^\infty$  of  $L^\infty$ .

Let  $b$  be a bounded function in  $D$ . In the proof of Theorem II.1, we assigned to  $b$  a holomorphic function  $G$  defined in  $D$  by

$$G(\zeta) = C \int_D B^{1+r\rho/(-2d+q)}(\zeta, z) b(z) dv(z), \quad \zeta \in D,$$

and the equivalence class  $g$  in the Bloch space  $\mathcal{B}$ , consisting of all holomorphic solutions  $\tilde{g}$  of the differential equation

$$\mathcal{D}_{\zeta_1} \tilde{g}(\zeta) = G(\zeta), \quad \zeta = (\zeta_1, \zeta_2) \in D \subset \mathbf{C}^n \times \mathbf{C}^N.$$

The operator  $P$  from  $L^\infty$  into  $\mathcal{B}$ , which assigns  $g$  to  $b$ , will be called the “Bergman projection” in view of the following lemma:

LEMMA III.1. *For any  $\varphi$  in  $(L^\infty \cap L^2)(D)$ , the element  $P\varphi$  of the Bloch space  $\mathcal{B}$  can be represented by the function  $\mathcal{P}\varphi$  defined in (5).*

PROOF. This follows immediately from the equality

$$(6) \quad \mathcal{D}_{\zeta_1} B(\zeta, z) = CB^{1+rp/(-2d+q)}(\zeta, z), \quad \zeta = (\zeta_1, \zeta_2) \in D \subset \mathbb{C}^n \times \mathbb{C}^N.$$

It was already shown that  $P$  is a bounded operator from  $L^\infty$  into  $\mathcal{B}$ ; we now prove that  $P$  is onto. More precisely, we show that any element  $g$  of  $\mathcal{B}$  is the Bergman projection  $Pb$  of a bounded function  $b$  defined by

$$b(z) = \mathcal{D}_{z_1} g(z) B^{-rp/(-2d+q)}(z, z), \quad z = (z_1, z_2) \in D \subset \mathbb{C}^n \times \mathbb{C}^N.$$

This is an immediate consequence of the following proposition:

PROPOSITION III.1. *For any holomorphic function  $G$  in  $D$  satisfying the estimate*

$$(*) \quad \sup_{z \in D} \{ |G(z)| B^{-rp/(-2d+q)}(z, z) \} < +\infty,$$

*the following equality holds:*

$$(7) \quad G(\zeta) = C \int_D G(z) B^{-rp/(-2d+q)}(z, z) B^{1+rp/(-2d+q)}(\zeta, z) dv(z).$$

PROOF. This proposition will be proved in an equivalent form on a bounded realization of  $D$ . We need the following lemma:

LEMMA III.2. *Every symmetric Siegel domain of type II contained in  $\mathbb{C}^M$  is biholomorphic to a bounded domain  $\Omega$  which possesses the following property: if  $z = (z_1, \dots, z_M)$  is a point of  $\Omega$ , then for any complex numbers  $\alpha_j$  satisfying  $|\alpha_j| \leq 1$ ,  $j = 1, \dots, M$ ,  $(\alpha_1 z_1, \dots, \alpha_M z_M)$  is also a point of  $\Omega$  ( $\Omega$  is said to be a bounded "circular" domain).*

Furthermore, let  $B_\Omega(\zeta, z)$  denote the Bergman kernel of  $\Omega$ ; there exists a nonzero constant  $c_\Omega$  such that  $B_\Omega(0, z) \equiv C_\Omega$ .

The first part of the lemma was proved by A. Koranyi and J. A. Wolff in [6]. The second part is contained in the proof of Lemma 2.3 of [4] (Lemma I.2.5 above); that proof, due to Koranyi, uses all of Lemma III.2.

We can now begin the proof of Proposition III.1. Let  $\Phi$  denote a biholomorphic transformation of a bounded circular domain  $\Omega$ , associated with  $D$  by Lemma III.2, onto  $D$ , which assigns to the origin 0 the point  $e = (e_1, e_2)$  of  $D \subset \mathbb{C}^n \times \mathbb{C}^N$ , whose components are  $(e_1)_{jj} = 1$ ,  $(e_1)_{jk} = 0$  when  $j < k$  and  $e_2 = 0$ .

This transformation  $\Phi$  yields the following relation between the respective Bergman kernels  $B_D$  and  $B_\Omega$  of the domains  $D$  and  $\Omega$ :

$$B_\Omega(\zeta', z') = B_D(\Phi(\zeta'), \Phi(z')) [J\Phi(\zeta')] [\overline{J\Phi(z')}] ,$$

where  $J\Phi(w)$  denotes the jacobian of  $\Phi$  at the point  $w$  of  $\Omega$ . When one puts  $z' = 0$  in this relation, it follows from the second part of Lemma III.2 that  $J\Phi(\zeta') = CB_D^{-1}(\Phi(\zeta'), e)$ ; furthermore, according to the notations introduced in section I.2, we set

$$[J\Phi(\zeta')]^\alpha = C_\alpha B_D^{-\alpha}(\Phi(\zeta'), e)$$

and

$$B_\Omega^\alpha(\zeta', z') = B_D^\alpha(\Phi(\zeta'), \Phi(z')) [J\Phi(\zeta')]^\alpha [\overline{J\Phi(z')}]^\alpha.$$

By means of the biholomorphic transformation  $\Psi = \Phi^{-1}$ , it is then easy to check that Proposition III.1 is equivalent to the following result:

**PROPOSITION III.2.** *For any holomorphic function  $\tilde{G}$  in  $\Omega$  satisfying the estimate*

$$\sup_{z' \in \Omega} \left\{ |\tilde{G}(z')| B_{\Omega}^{-r\rho/(-2d+q)}(z', z') |J\Phi(z')|^{2r\rho/(-2d+q)} \right\} < +\infty,$$

*the following equality holds:*

$$\begin{aligned} & \tilde{G}(\zeta') [J\Phi(\zeta')]^{1+r\rho/(-2d+q)} \\ &= C \int_{\Omega} \tilde{G}(z') [J\Phi(z')]^{1+r\rho/(-2d+q)} \\ & \quad \cdot B_{\Omega}^{1+r\rho/(-2d+q)}(\zeta', z') B_{\Omega}^{-r\rho/(-2d+q)}(z', z') dv(z'), \quad \zeta' \in \Omega. \end{aligned}$$

**PROOF OF PROPOSITION III.2.** With respect to the measure  $B_D^{-r\rho/(-2d+q)}(z, z) dv(z)$  the Bergman kernel of  $D$  is  $CB_D^{1+r\rho/(-2d+q)}(\zeta, z)$ . Carrying ourselves onto  $\Omega$  by using the biholomorphic transformation  $\Psi = \Phi^{-1}$ , we easily deduce that with respect to the measure  $B_{\Omega}^{-r\rho/(-2d+q)}(z', z') dv(z')$ , the Bergman kernel of  $\Omega$  is  $CB^{1+r\rho/(-2d+q)}(\zeta', z')$ .

It now suffices to prove that the function  $\tilde{G}(z') [J\Phi(z')]^{1+r\rho/(-2d+q)}$  is integrable in  $D$  with respect to the measure  $B_{\Omega}^{-r\rho/(-2d+q)}(z', z') dv(z')$ .

Since we assume that the function  $\tilde{G}(z') [J\Phi(z')]^{2r\rho/(-2d+q)} B_{\Omega}^{-r\rho/(-2d+q)}(z', z')$  is bounded in  $\Omega$ , it is enough to prove the following lemma:

**LEMMA III.3.**  $\int_{\Omega} |J\Phi(z')|^{1-r\rho/(-2d+q)} dv(z') < +\infty$ .

**PROOF OF LEMMA III.3.** Put  $\Psi = \Phi^{-1}$ ; the assertion in the lemma is equivalent in  $D$  to the estimate

$$\int_D |J\Psi(z)|^{1+r\rho/(-2d+q)} dv(z) < +\infty.$$

The desired conclusion then follows from Lemma I.2.3 because  $J\Psi(z) = CB_D(e, z)$  and  $r\rho > m/2$ . The proof of Lemma III.3 is complete, and Propositions III.2 and III.1 are thus entirely proved.

**REMARK.** In view of Proposition III.1, under the measure  $B^{-r\rho/(-2d+q)}(z, z) dv(z)$  the Bergman kernel of  $D$  does not only reproduce functions in Bergman spaces  $A^p$ , but also those holomorphic functions in  $D$ , satisfying the uniform estimate (\*). This property is trivial on bounded domains and seems to be new concerning unbounded domains; however, in this case, unlike the bounded domains, the meaning of the right-hand side of (7) requires the presence of a weight.

We have just proved that the Bergman projection  $P$  of  $D$  is bounded from  $L^{\infty}$  onto the Bloch space  $\mathcal{B}$ . Furthermore, if  $R$  denotes the operator defined in  $\mathcal{B}$  with values in  $L^{\infty}$  that assigns to an element  $g$  of  $\mathcal{B}$  the bounded function

$$Rg(z) = \mathcal{D}_z g(z) B^{-r\rho/(-2d+q)}(z, z), \quad z = (z_1, z_2) \in D \subset \mathbb{C}^n \times \mathbb{C}^N,$$

$R$  is a “continuous right inverse” of  $P$ , i.e.,  $PR = \text{Id}_{\mathcal{B}}$ .

The following theorem comes as a summary of this chapter:

**THEOREM III.1.** *Let  $D$  be a symmetric Siegel domain of type II.*

1° *The Bergman projection  $P$  of  $D$  is a bounded operator from  $L^\infty$  onto the Bloch space  $\mathcal{B}$ ; furthermore,  $P$  possesses a continuous right inverse  $R: \mathcal{B} \rightarrow L^\infty$ ;*

2° *Consequently, the dual of the Bergman space  $A^1$  (the Bloch space  $\mathcal{B}$ ) can be realized as the Bergman projection of  $L^\infty$ .*

**REMARKS.** 1° At the end of the second chapter, we noticed that the dual of  $A^1$  still coincides with the Bloch space in any homogeneous, but nonsymmetric Siegel domain of type II, associated with a self-conjugate cone. In such a domain, the Bergman projection can be defined exactly in the same way as an operator from  $L^\infty$  into  $\mathcal{B}$ . The question therefore arises whether Theorem III.1 can be extended to this type of domain; a relevant problem would be to find a substitute for Lemma III.2.

2° Unlike the particular cases of the Cayley transform of the unit ball and of the tube over the spherical cone, respectively studied in [1 and 3], we have not defined here the Bergman projection  $P$  of  $L^\infty$  as an integral operator associated with a kernel. The problem of determining a defining kernel for  $P$  will be considered in a forthcoming paper.

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